

APR 17 2000  
PATENT & TRADEMARK OFFICE

PTO/SB-21 (6-98)

Please type a plus sign (+) inside this box → ☐

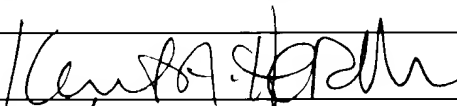
Approved for use through 09/30/2000 OMB 0651-0031  
Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE


Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

<h1>TRANSMITTAL FORM</h1> <p>(to be used for all correspondence after initial filing)</p>	Application Number	09/206,458
	Application Date	December 7, 1998
	First Named Inventor	Greaves, et al
	Group Art Unit	1651
	Examiner Name	C. Tate
Total Number of Pages in This Submission 58		Attorney Docket Number 4532670/69748

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) postcard
Remarks		Deposit Account Authorization: In the event no specific fee has been authorized above or if a check is detached or misplaced, the Commissioner is authorized to charge the TOTAL DUE to our deposit account No. 12-2250. Any adjustment in the TOTAL DUE should be made to our deposit account No. 12-2250.

RECEIVED  
APR 13 2000  
TECH CENTER 1600/2900

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Kent A. Herink, Esq., Davis, Brown, Koehn, Shors & Roberts, P.C.
Signature	
Date	4/13/2000

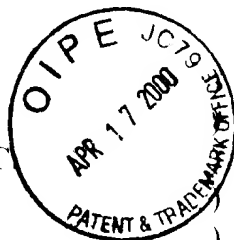
CERTIFICATE OF FIRST CLASS MAIL			
I hereby certify that this correspondence is being deposited with the United States Postal Service as 1st class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date <u>4-13-2000</u>			
Typed or printed name		Fran T. Tentinger	
Signature		Date	April 13, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

KEMIN INDUSTRIES, INC.



Group Art Unit: 1651

Serial No. 09/206,458 )

Filed: December 7, 1998 )

For: Method For Simultaneous )

Extraction of Essential Oils and )

Antioxidants From Lamiaceae Species )

and the Extract Products Thereof )

Examiner: C. Tate

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner For Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §1.97, a list of documents that may be material to the examination of this application is provided on the attached Form PTO-1449. The listed documents which were furnished by the PCT in the International Search Report are Foreign patents and a U.S. patent that may be relevant to the examination of the present application. Copies of the documents enclosed herewith.

<b>Patent Number</b>	<b>Issue Date</b>	<b>Inventor</b>
4,380,506	04-19-1983	Kimura, et al.
GB 2,324,050	10-14-1998	Nicola
WO 95/26794 A1	10-12-1995	Imperial Chemical Industries PLC

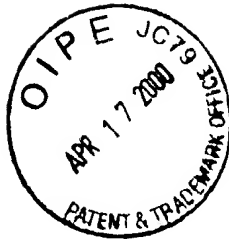
No inferences should be drawn that the above list represents a comprehensive investigation, or that any material disclosed is equivalent to the subject invention. In addition, none of the documents that have publication dates prior to the priority date of the above application anticipate the invention in this application.

The cited documents disclose numerous specific features. There has been no attempt to list each and every feature disclosed by each document. The Examiner is requested to review the documents and determine the extent of the materiality of the document disclosures with respect to the present invention.

The discussion of any art and the citation of any document herein is not to be construed as an admission that the art or document disclosure is necessarily within the invention field of endeavor, that the art or document disclosure is necessarily prior in time to a particular date which may be relevant to the instant patent application, and/or that the art or document disclosure is otherwise necessarily prior art as defined by the patent law with respect to the instant invention and application.

Also, there is reserved the right to later set forth how the instant invention is distinguished over the disclosure of any document or other art, including the disclosures of the art and documents recited herein, that may be cited by the Examiner in rejecting a claim in the instant patent application.

The recitation herein of the art and documents is not to be construed as an assertion that more pertinent art could not possibly be in existence.



Respectfully submitted,

By Kent A. Herink

Kent A. Herink, Registration No. 31,025  
Davis, Brown, Koehn, Shors & Roberts, P.C.  
The Financial Center  
666 Walnut Street, Suite 2500  
Des Moines, Iowa 50309  
Tel: (515) 288-2500  
Fax: (515) 243-0654

ATTORNEY FOR APPLICANT

Enclosures:

Form PTO-1449

Cited Prior Art

CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement, together with the stated enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on April 13, 2000

By: Fran T. Tentinger  
Fran T. Tentinger

**Complete if Known**

<b>Application Number</b>	09/206,458
<b>Filing Date</b>	December 7, 1998
<b>First Named Inventor</b>	Greaves
Group Art Unit	1651
Examiner Name	C. Tate
Attorney Docket Number	4532670/69748

(use as many sheets as necessary)

Sheet 1 of 1

[illegible][illegible]

Examiner  
Signature

Date	
Considered	

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231.